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2131
#37-289
V. Jones
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Matthew Carter, et al.

Serial No.: 09/833,281

Group Art Unit: 2131

Filing Date: April 12, 2001

Examiner: Not yet assigned

**For: Encryption and Authentication Methods and Apparatus for Securing
Telephone Communications**

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June 25, 2001
TYPED NAME: Joseph E. Condo
REGISTRATION NO.: 32,431

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the

mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with the first or second After Final Submission, therefore:
 - Certification in Accordance with §1.97(e) is attached; or
 - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
 - Certification in Accordance with §1.97(e) is attached; or
 - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith

EXCEPT THAT:

- In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/428,844**, filed **October 28, 1999**.

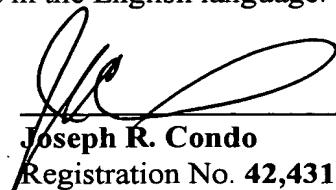
If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Date: June 25, 2001



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office			Docket No. LCOM-0605	Serial No. 09/833,281		
			Applicant Matthew Carter, et al.	Technology Center 2100 JUL 05 2001 RECEIVED		
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	AB	2,951,120	08/30/60	Dingley, Jr.	178	22
	AC	3,781,472	12/25/73	Goode et al.	178	22
	AD	4,167,700	09/11/79	Coe et al.	352	32
	AE	4,211,891	07/08/80	Glitz	375	2
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Patent and Trademark Office

Docket No.
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Serial No.
09/833,281

Applicant
Matthew Carter, et al.

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	AB	Branstad, D., et al., "SP4: A Transport Encapsulation Security Protocol," <i>A Collection of Technical Papers: AIAA/ASIS/IEEE Third Aerospace Computer Security Conference: Applying Technology to Systems</i> , Orlando, Florida, December 7-11, 1987 , 143-147	
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	AD	Cox, R.V., "The Analog Voice Privacy System," <i>AT&T Technical Journal</i> , 1987 , 66(1), 119-131	
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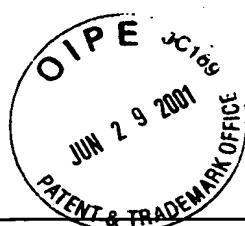
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	AX	"Telephone amplifiers, in-line amplifier (HA-25)," <i>GIF image 200x183 pixels</i> , wysiwyg://167/http://business.fortunecity.com/moo/256/page8.htm , May 1, 2000, 3 pages		
	AY	"Phone receiver amplifier and portable telephone amplifier," <i>Telephone Aides</i> , http://supply.medicaledge.com/page22.htm , May 1, 2000, 1 page		
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